

Holding of specified securities (Statement Showing Shareholding Pattern)

1. **Name of Listed Entity : GILADA FINANCE & INVESTMENTS LIMITED**

2. Scrip Code / Name of Scrip / Class of Security 538788 /GILADAFINS / EQUITY

3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 a. If under 31(1)(b) then indicate the report for Quarter ending 30-Sep-2019
 b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?	Yes	
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
								No of Voting			Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	9	2064300	0	0	2064300	58.774	0	0	0	0	750000	63.783	1050900	50.908	0	0	2064300
B.	PUBLIC	1152	1448000	0	0	1448000	41.227	0	0	0	0	150000	36.217	0	0	0	0	894909
C.	NON PROMOTER-NON PUBLIC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1.	SHARES UNDERLYING DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	1161	3512300	0	0	3512300	100	0	0	0	0	900000	100.000	1050900	29.921	0	0	2959209

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Class X	Voting Class Y	Total			Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)
1. INDIAN																		
a. Individuals/Hindu undivided Family		8	1050900	0	0	1050900	29.921	0	0	0	0	750000	40.815	1050900	29.921	0	0	1050900
1 SAMPATH GILADA	ACUPG2397N		5100	0	0	5100	0.145	0	0	0	0	0	0	5100	0.145	0	0	5100
2 SANGEETA S GILADA	AIDPG1236B		143500	0	0	143500	4.086	0	0	0	0	100000	5.519	143500	4.086	0	0	143500
3 GOWRAJADEVI SHANKERLAL GILADA	ADAPG1848D		91000	0	0	91000	2.591	0	0	0	0	0	0	91000	2.591	0	0	91000
4 SHANKARLAL GILADA	AHWPS6995D		170000	0	0	170000	4.840	0	0	0	0	175000	7.819	170000	4.840	0	0	170000
5 RAJASHREE GILDA	ACBPR9763N		262900	0	0	262900	7.485	0	0	0	0	175000	9.925	262900	7.485	0	0	262900
6 BINDU RAJGOPAL GILADA	ACQPB4488H		110000	0	0	110000	3.132	0	0	0	0	100000	4.759	110000	3.132	0	0	110000
7 SAMPATHKUMAR GILADA	AACHS9883B		115600	0	0	115600	3.291	0	0	0	0	100000	4.886	115600	3.291	0	0	115600
8 RAJGOPAL GILADA	ACUPG8116H		152800	0	0	152800	4.350	0	0	0	0	100000	5.729	152800	4.350	0	0	152800
b. Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Financial Institutions/ Banks		0	0	0	0	0	0.000	0	0	0	0	0	0	0	0	0	0	0
d. ANY OTHER																		
BODIES CORPORATE		1	1013400	0	0	1013400	28.853	0	0	0	0	0	0	0	0	0	0	1013400
1 SHANKARLAL GILADA & SONS PRIVATE LIMITED	AAACO2882K		1013400	0	0	1013400	28.853	0	0	0	0	0	0	0	0	0	0	1013400
Sub-Total (A)(1)		9	2064300	0	0	2064300	58.774	0	0	0	0	750000	63.783	1050900	29.921	0	0	2064300
2. FOREIGN																		
Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b. Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f. ANY OTHER																		
Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0	0	0	0	0.0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	2064300	0	0	2064300	58.774	0	0	0	0	750000	63.783	1050900	29.921	0	0	2064300
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class	Voting Rights				Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
									X	Y								
1. INSTITUTIONS																		
a. Mutual Funds/ Names:		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
b. Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
c. Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
d. Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
e. Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
f. Financial Institutions/ Banks		1	500	0	0	500	0.01	0	0	0	0	0	0	0	0	NA	500	
g. Insurance Companies		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
h. Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
i. ANY OTHER																		
CENTRAL GOVERNMENT/ STATE GOVERNMENT(S)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
FOREIGN INSTITUTIONAL INVESTORS		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
FOREIGN FINANCIAL INSTITUTIONS		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
Sub-Total (B)(1)		1	500	0	0	500	0.01	0	0	0	0	0	0	0	0		500	
2. Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0		0	

Table III

3.	NON-INSTITUTIONS																			
a.	INDIVIDUALS -																			
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1110	676000	0	0	676000	19.25	0	0	0	0	0	0	0	0	0	0	0	NA	309909
	II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	13	539291	0	0	539291	15.35	0	0	0	0	150000	15.622	0	0	0	0	0	NA	353791
1	GITA D PATIL	AKRPP1473D	85400	0	0	85400	2.43	0	0	0	0	0	0	0	0	0	0	0	NA	85400
2	HANUMANDAS JOSHI		35700	0	0	35700	1.02	0	0	0	0	0	0	0	0	0	0	0	NA	
3	MADANLAL SONI		52300	0	0	52300	1.49	0	0	0	0	0	0	0	0	0	0	0	NA	
4	KAMALABAI M SONI	BMIPS2904L	62000	0	0	62000	1.77	0	0	0	0	0	0	0	0	0	0	0	NA	
5	BHARAT H SHAH	AYEPS7455D	35640	0	0	35640	1.01	0	0	0	0	0	0	0	0	0	0	0	NA	35640
6	GOVIND JOSHI	ADUPJ6017F	52000	0	0	52000	1.48	0	0	0	0	0	0	0	0	0	0	0	NA	52000
7	NAMRATA MALU	AHHPM8200G	40355	0	0	40355	1.15	0	0	0	0	0	0	0	0	0	0	0	NA	40355
8	BHURAT KUSUM	ATDPK7059F	35500	0	0	35500	1.01	0	0	0	0	0	0	0	0	0	0	0	NA	0
9	ASHOK RAMSWAROOP MARDA	ABNPM1422A	0	0	0	0	0.00	0	0	0	0	50000	1.133	0	0	0	0	0	NA	0
10	ABHISHEK MOHATA	ACVPM3517D	0	0	0	0	0.00	0	0	0	0	50000	1.133	0	0	0	0	0	NA	0
11	NIRMAL BHURAT	AFLPB2432B	0	0	0	0	0.00	0	0	0	0	40000	0.907	0	0	0	0	0	NA	0
12	SURESH PAI	AHXPP5090K	0	0	0	0	0.00	0	0	0	0	10000	0.227	0	0	0	0	0	NA	0
b.	NBFCs registered with RBI		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
c.	Employee Trusts		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Overseas Depositories (holding DRs)																		NA	
d.	(balancing figure)		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
e.	ANY OTHER																		NA	
	BODIES CORPORATE		10	209842	0	0	209842	5.97	0	0	0	0	0	0	0	0	0	0	NA	208342
	Names :																			
	Malu Plantation & Resorts Limited	AABCM3866C		201000	0	0	201000	5.72	0	0	0	0	0	0	0	0	0	0	NA	201000
	TRUSTS		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	DIRECTOR & THEIR RELATIVES		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	FOREIGN NATIONALS		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	NON RESIDENT INDIANS		4	8584	0	0	8584	0.24	0	0	0	0	0	0	0	0	0	0	NA	8584
	CLEARING MEMBERS		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	HINDU UNDIVIDED FAMILIES		14	13783	0	0	13783	0.39	0	0	0	0	0	0	0	0	0	0	NA	13783
	OTHERS		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	FOREIGN CORPORATE BODIES		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	ESOPs/ ESOS/ESPS EMPLOYEE SHARE HOLDERS		0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Sub-Total (B)(3)		1151	1447500	0	0	1447500	41.21	0	0	0	150000	36.206	0	0	0	0	0	NA	894409
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		1152	1448000	0	0	1448000	41.23	0	0	0	150000	36.217	0	0	0	0	0	NA	894909

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Share-holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Share-holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)	
									Class X	Class Y	Total			Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
1.	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2.	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)	Date of creation / acquisition of significant beneficial interest# (IV)	
	NAME	PAN / Passport No. in case of a foreign national	Nationality	NAME	PAN / Passport No. in case of a foreign national	Nationality			
1							Whether by virtue of:		
							Shares		%
							Voting rights		%
							Rights on distributable dividend or any other		%
							Exercise of control		
Exercise of significant									
2							Whether by virtue of:		
							Shares		%
							Voting rights		%
							Rights on distributable dividend or any other		%
							Exercise of control		
Exercise of significant									
3							Whether by virtue of:		
							Shares		%
							Voting rights		%
							Rights on distributable dividend or any other		%
							Exercise of control		
Exercise of significant									